



Federal Aviation Administration

This information is being updated to reflect the most current FAA policy.

Memorandum

Date: May 4, 2016

To: Earl Lawrence, Director, Unmanned Aircraft Systems Integration Office, AUS-1
John Duncan, Director, Flight Standards Service, AFS-1

From: Reginald C. Govan, Chief Counsel, AGC-1 *RG*

Prepared by: Dean E. Griffith, Attorney, AGC-220

Subject: Educational Use of Unmanned Aircraft Systems (UAS)

This interpretation addresses: (1) use of unmanned aircraft for hobby or recreational purposes at educational institutions and community-sponsored events; and (2) student use of unmanned aircraft in furtherance of receiving instruction at accredited educational institutions.

There is uncertainty in the model aircraft community about when an unmanned aircraft is a model aircraft operated for hobby or recreation or is an operation requiring FAA authorization. The FAA has received many inquiries from students and educational institutions offering coursework in the design, construction and operation of small unmanned aircraft with respect to the types of activities in which students and faculty lawfully may engage pursuant to the existing legal framework.

In light of these questions, we are issuing this interpretation to clarify that:

- A person may operate an unmanned aircraft for hobby or recreation in accordance with section 336 of the FAA Modernization and Reform Act of 2012 (FMRA)¹ at educational institutions and community-sponsored events² provided that person is (1) not compensated, or (2) any compensation received is neither directly nor incidentally related to that person's operation of the aircraft at such events;
- A student may conduct model aircraft operations in accordance with section 336 of the FMRA in furtherance of his or her aviation-related education at an accredited educational institution.

¹ Pub. L. 112-95, § 336(a)(1)-(5)

² Community-sponsored events would include demonstrations at schools, Boy or Girl Scout meetings, science clubs, etc.

- Faculty teaching aviation-related courses at accredited educational institutions may assist students who are operating a model aircraft under section 336 and in connection with a course that requires such operations, provided the student maintains operational control of the model aircraft such that the faculty member's manipulation of the model aircraft's controls is incidental and secondary to the student's (e.g., the faculty member steps-in to regain control in the event the student begins to lose control, to terminate the flight, etc.).

On June 25, 2014, the FAA published in the Federal Register its interpretation of the Special Rule for Model Aircraft, section 336 of the FMRA. 79 Fed. Reg. 36172 (June 25, 2014).³ Currently, the FAA is reviewing the more than 33,500 comments to that Special Rule. In addition, on February 23, 2015, the FAA published in the Federal Register its Notice of Proposed Rulemaking (NPRM) on the Operation and Certification of Small Unmanned Aircraft Systems (UAS). 80 Fed. Reg. 9544.

Separate from these two actions, the FAA continues to receive a number of questions on the use of model aircraft to conduct demonstrations and on student use of model aircraft in connection with participation in coursework at educational institutions. The FAA finds it necessary to clarify the applicability of section 336 of the FMRA and of the FAA's operating requirements for UAS. The FAA recognizes that UAS increasingly are being used in education, including science, technology, education, and math (STEM) education, which is the focus of President Obama's Educate to Innovate Initiative.⁴

Hobbyist Use of UAS to Conduct Demonstrations

Section 336(a) of the FMRA provides special rules for model aircraft that require the aircraft to be:

- 1) Flown strictly for hobby or recreational use;
- 2) Operated in accordance with a community-based set of safety guidelines and within the programming of a nationwide community-based organization;
- 3) Limited to not more than 55 pounds unless otherwise certified through a design, construction, inspection, flight test, and operational safety program administered by a community-based organization;
- 4) Operated in a manner that does not interfere with and gives way to any manned aircraft; and
- 5) When flown within 5 miles of an airport, the operator of the aircraft provides the airport operator and the airport air traffic control tower (when an air traffic control facility is located at the airport) with prior notice of the operations (model aircraft operators flying

³ A model aircraft means an unmanned aircraft that is: (1) capable of sustained flight in the atmosphere; (2) flown within visual line of sight of the person operating the aircraft; and (3) flown for hobby or recreational purposes. Pub. L. 112-95, § 336(c).

⁴ <http://www.whitehouse.gov/issues/education/k-12/educate-innovate>

from a permanent location within 5 miles of an airport should establish a mutually-agreed upon operating procedure with the airport operator and the airport air traffic control tower).

If an unmanned aircraft is operated as a model aircraft in accordance with the above, then it does not require FAA authorization. A key element in determining whether an operation may qualify as a model aircraft operation is that it must be flown for “hobby or recreational” purposes.⁵ The FAA’s interpretation of section 336 relies on common definitions of the terms “hobby” and “recreational.” Previous agency guidance addressed the parameters of hobby or recreational use:

Any operation not conducted strictly for hobby or recreation purposes could not be operated under the special rule for model aircraft. Clearly, commercial operations would not be hobby or recreation flights. Likewise, flights that are in furtherance of a business, or incidental to a person's business, would not be a hobby or recreation flight.⁶

The FAA interprets “hobby or recreational” use to include operation of UAS to conduct demonstrations at accredited educational institutions or at other community-sponsored events provided the aircraft is not being operated for compensation, in furtherance of a business or incidental to a business. Therefore, a model aircraft hobbyist or enthusiast lawfully may fly UAS at accredited educational institutions or other community-sponsored events to promote the safe use of UAS and encourage student interest in aviation as a hobby or for recreational purposes provided the hobbyist receives no compensation of any form (including honorarium or reimbursement of costs), or any such compensation neither directly nor indirectly furthers the hobbyist’s business or operation of the UAS and he or she follows the provisions of section 336.

Student Operation of Model Aircraft for Educational Purposes

If not operated as “model aircraft” under section 336 of the FMRA, currently there are three ways to lawfully conduct unmanned aircraft operations in the United States: (1) as public aircraft operations pursuant to the requirements of the public aircraft statute and under a Certificate of Waiver or Authorization (COA) from the FAA; (2) as limited commercial operations by type certificated UAS, provided the operator obtains a COA from the FAA; or (3) pursuant to a Section 333 of the FMRA grant of exemption based on the Secretary of Transportation’s determination that a certificate of airworthiness is not required, and provided the operator obtains a COA from the FAA.⁷

Each of these three methods is available to educational institutions (including their faculty and students) that want to operate UAS, including for commercial, research and development, and any other non-hobby or non-recreational purpose. Each, however, requires the educational

⁵ P.L. 112-95, § 336(a)(1) and (c)(3).

⁶ 79 Fed. Reg. at 36174

⁷ On February 23, 2015 the FAA proposed a rule that, when finalized, will provide a framework for small UAS operations. See Operation and Certification of Small Unmanned Aircraft Systems Notice of Proposed Rulemaking, 80 Fed. Reg. 9544.

institution or its faculty and students to meet statutory prerequisites and obtain from the FAA the requisite approvals (in the form of exemptions and COAs).

Many educational institutions are keenly interested in having students operate unmanned aircraft as model aircraft under section 336 of the FMRA in connection with their academic coursework at those schools. The educational community contends that these operations not only meet the definition of model aircraft but also meet the unique need of students, which is learning how to design, construct and operate small unmanned aircraft⁸ as a component of a variety of science, technology and aviation-related educational curricula. Students also are interested in operating small unmanned aircraft for other educational purposes such as in connection with television, film, or photography courses.

The FAA has considered whether a student's course work of learning how to operate and use a UAS constitutes a hobby or recreational activity within the meaning of section 336's definition of model aircraft. The FAA believes students operating UAS as one component of a curricula pertaining to principles of flight, aerodynamics and airplane design and construction promotes UAS safe use and advances UAS-related knowledge, understanding and skills. UAS also may provide students a useful tool in other academic curricula such as television, film production or the arts generally. Although it may be argued that the student's knowledge and skills obtained through such coursework are necessary for a diploma or degree, which subsequently can lead to an aviation-related job or increased earning potential, the FAA finds this link simply too attenuated to transform student UAS use, as a component of an accredited educational curriculum, into a non-hobby or non-recreational use within the meaning of section 336. A person that operates a UAS strictly for hobby or recreation learns about principles of flight, aerodynamics, and airplane construction may subsequently use such knowledge when gainfully employed, but that does not transform what is otherwise a hobby or recreational activity into a non-hobby or non-recreational pursuit.

Therefore, we find that the use of small unmanned aircraft by students at accredited educational institutions as a component of science, technology and aviation-related educational curricula or other coursework such as television and film production or the arts more closely reflects and embodies the purposes of "hobby or recreational" use of model aircraft and is consistent with the intent of section 336 of the FMRA. Accordingly, the FAA concludes that student use of UAS at accredited educational institutions as a component of their science, technology and aviation-related educational curricula, or other coursework such as television and film production or the arts, is "hobby or recreational use" within the meaning of the FMRA. The student is, however, responsible for meeting and complying with all other elements required for lawful model aircraft operations pursuant to Section 336 of the FMRA, including the student not receiving any form of compensation (including reimbursement of costs, honorarium, etc.) directly or incidentally to his or her operation of the model aircraft.⁹

Faculty Use of Model Aircraft

⁸ Small unmanned aircraft are those weighing less than 55 pounds. See FMRA § 331(6).
⁹ The prohibition on receiving compensation, while broad, does not preclude a student from operating UAS in connection with fulfilling a specific course's requirement while also receiving financial aid, participating in work-study programs or being a paid research assistant to a faculty member teaching such course.

The FAA recognizes that faculty participation in the student's learning experience often is an integral component of the student's educational experience and that faculty should be able to participate in and contribute to the unmanned aircraft activities in which students can engage as hobbyists. However, a faculty member engaging in the operation of an unmanned aircraft, as part of professional duties for which he or she is paid, would not be engaging in a hobby or recreational activity. Rather, the faculty member is being compensated for his or her teaching or research activity, including any UAS operation arising from or related to the faculty member's teaching a course or conducting research.

Likewise, a student operating UAS for research on behalf of a faculty member is associated with the faculty member's professional duties and compensation and, thus, is not hobby or recreational use by the student pursuant to section 336. Student operation of UAS for the professional research objectives of faculty renders the operation non-hobby or non-recreational. Accordingly, a faculty member conducting research may not rely on section 336's concept of "hobby or recreational use" to either operate a UAS or direct student UAS operations in connection with such research.

Nevertheless, faculty teaching a course or curricula that uses unmanned aircraft as a component of that course may provide limited assistance to students operating unmanned aircraft as part of that course without changing the character of the student's operation as a hobby or recreational activity or requiring FAA authorization for the faculty member to operate. The FAA finds that de minimis limited instructor participation in student operation of UAS as part of coursework does not rise to the level of faculty conducting an operation outside of the hobby or recreation construct.

This limited circumstance would apply to courses at accredited institutions where the operation of the unmanned aircraft is secondary to the design and construction of the aircraft, such that the primary purpose of the course is not operating an unmanned aircraft. For example, an instructor teaching an engineering course in which construction and operation of UAS are one part of the curriculum would be able to conduct limited UAS operations. In that case students would fly UAS to test the validity of design or construction methods to show mastery of the principles of the course. The faculty member's UAS operation would be secondary to the purpose of instructing engineering courses. In contrast, this limited circumstance would not apply to a course related to UAS flight instruction. In that case, the student's primary purpose for taking the course is to learn to fly a UAS and flight would be expected to be demonstrated on a regular basis. In that case, the faculty member's UAS operation is closely tied to his or her purpose of instructing how to fly a UAS.

Conclusion

UAS may be used to conduct demonstrations at schools or other community-sponsored events provided the person operating the aircraft is (1) not compensated, or (2) any compensation received is neither directly nor incidentally related to that person's operation of the aircraft at such events.

Students that operate model aircraft in connection with fulfilling an accredited educational institution's curricula lawfully may conduct model aircraft operations for hobby and recreational

purposes pursuant to section 336 of the FMRA, provided they do not receive compensation, directly or incidentally, arising from or related to such operations. Faculty at these educational institutions teaching such curricula may assist students with their model aircraft operations under section 336, provided that the operations are used to teach such curricula to students enrolled in those courses and the faculty member's participation is limited to de minimis participation in the student's UAS operations. We emphasize that these operations must be conducted under the provisions of section 336.

The FAA emphasizes that faculty members who wish to operate UAS outside of these parameters must seek authorization through one of the three methods discussed above. We also note that this interpretation was drafted prior to issuance of the final rule for Operation and Certification of Small UAS Rule and this interpretation may need to be revisited depending on its provisions. *See* 80 Fed. Reg. 9544 (Feb. 23, 2015) (Notice of Proposed Rulemaking).

Please contact my office with any questions about this memorandum.

(ii) require a decision by the Administrator on approval or disapproval within 60 business days of the date of submission of the application; and

(iii) allow for an expedited appeal if the application is disapproved;

(B) allow for a one-time approval of similar operations carried out during a fixed period of time; and

(C) allow a government public safety agency to operate unmanned aircraft weighing 4.4 pounds or less, if operated—

(i) within the line of sight of the operator;

(ii) less than 400 feet above the ground;

(iii) during daylight conditions;

(iv) within Class G airspace; and

(v) outside of 5 statute miles from any airport, heliport, seaplane base, spaceport, or other location with aviation activities.

SEC. 335. SAFETY STUDIES.

The Administrator of the Federal Aviation Administration shall carry out all safety studies necessary to support the integration of unmanned aircraft systems into the national airspace system.

SEC. 336. SPECIAL RULE FOR MODEL AIRCRAFT.

(a) IN GENERAL.—Notwithstanding any other provision of law relating to the incorporation of unmanned aircraft systems into Federal Aviation Administration plans and policies, including this subtitle, the Administrator of the Federal Aviation Administration may not promulgate any rule or regulation regarding a model aircraft, or an aircraft being developed as a model aircraft, if—

(1) the aircraft is flown strictly for hobby or recreational use;

(2) the aircraft is operated in accordance with a community-based set of safety guidelines and within the programming of a nationwide community-based organization;

(3) the aircraft is limited to not more than 55 pounds unless otherwise certified through a design, construction, inspection, flight test, and operational safety program administered by a community-based organization;

(4) the aircraft is operated in a manner that does not interfere with and gives way to any manned aircraft; and

(5) when flown within 5 miles of an airport, the operator of the aircraft provides the airport operator and the airport air traffic control tower (when an air traffic facility is located at the airport) with prior notice of the operation (model aircraft operators flying from a permanent location within 5 miles of an airport should establish a mutually-agreed upon operating procedure with the airport operator and the airport air traffic control tower (when an air traffic facility is located at the airport)).

(b) STATUTORY CONSTRUCTION.—Nothing in this section shall be construed to limit the authority of the Administrator to pursue enforcement action against persons operating model aircraft who endanger the safety of the national airspace system.

(c) MODEL AIRCRAFT DEFINED.—In this section, the term “model aircraft” means an unmanned aircraft that is—

(1) capable of sustained flight in the atmosphere;

FAA MODERNIZATION +
REFORM ACT.

- (2) flown within visual line of sight of the person operating the aircraft; and
- (3) flown for hobby or recreational purposes.

Subtitle C—Safety and Protections

SEC. 341. AVIATION SAFETY WHISTLEBLOWER INVESTIGATION OFFICE.

Section 106 (as amended by this Act) is further amended by adding at the end the following:

“(t) AVIATION SAFETY WHISTLEBLOWER INVESTIGATION OFFICE.—

“(1) ESTABLISHMENT.—There is established in the Federal Aviation Administration (in this subsection referred to as the ‘Agency’) an Aviation Safety Whistleblower Investigation Office (in this subsection referred to as the ‘Office’).

“(2) DIRECTOR.—

“(A) APPOINTMENT.—The head of the Office shall be the Director, who shall be appointed by the Secretary of Transportation.

“(B) QUALIFICATIONS.—The Director shall have a demonstrated ability in investigations and knowledge of or experience in aviation.

“(C) TERM.—The Director shall be appointed for a term of 5 years.

“(D) VACANCIES.—Any individual appointed to fill a vacancy in the position of the Director occurring before the expiration of the term for which the individual’s predecessor was appointed shall be appointed for the remainder of that term.

“(3) COMPLAINTS AND INVESTIGATIONS.—

“(A) AUTHORITY OF DIRECTOR.—The Director shall—

“(i) receive complaints and information submitted by employees of persons holding certificates issued under title 14, Code of Federal Regulations (if the certificate holder does not have a similar in-house whistleblower or safety and regulatory noncompliance reporting process) and employees of the Agency concerning the possible existence of an activity relating to a violation of an order, a regulation, or any other provision of Federal law relating to aviation safety;

“(ii) assess complaints and information submitted under clause (i) and determine whether a substantial likelihood exists that a violation of an order, a regulation, or any other provision of Federal law relating to aviation safety has occurred; and

“(iii) based on findings of the assessment conducted under clause (ii), make recommendations to the Administrator of the Agency, in writing, regarding further investigation or corrective actions.

“(B) DISCLOSURE OF IDENTITIES.—The Director shall not disclose the identity of an individual who submits a complaint or information under subparagraph (A)(i) unless—

“(i) the individual consents to the disclosure in writing; or