

January 2, 2017

Yvonne Perez
Assistant Superintendent
Business Services
Sylvan Union School District
605 Sylvan Ave.
Modesto, CA 95350

RE: Inspection Services for: Sylvan Elementary School Modernization - Sylvan Union School District.

Ms. Perez;

02-114569 – Sylvan Elementary Modernization Phase 1 & 1A	DD 103216	64.0	1,170
DSA Required documents, Inspection, coordination of Lab services, progress meetings Review plans and Specification			

- 02-114577 Somerset;
- 02-114584 Sherwood; **FINAL BILLING**
- 02-114587 Stockard Coffee; **FINAL BILLING**
- 02-114585 Standiford; **FINAL BILLING**
- 02-114583 CF Brown; **FINAL BILLING**
- 02-114582 Woodrow; **FINAL BILLING**

DSA required documents, Inspection and verification of existing and newly installed equipment
Coordination of lab services, progress meetings, Site meetings, plan, specification review.

DD 17116	18.0	1,170
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November 29, 2016 – January 2, 2017
82 Hours

Total Hours	
Hourly rate	\$65.00

Total Due \$ 5330.00

Please make Payable to: Meridith Holloway
5314 Smith Road
Oakdale, CA 95361

Thank you for your time and consideration.

Meridith Holloway
DSA Inspector, II
209-988-0742
antmerdo@aol.com

APPLICATION AND CERTIFICATE FOR PAYMENT

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

PAGE 1 OF 3 PAGES

TO OWNER: Santa Ana School District
 Corporation Yard
 2800 Coffee Road
 Modesto, CA 95355

PROJECT MGR: GOODWIN, RENEE
 Via Fed Ex Overnight II Morgan Chase Bank
 Attn: 101 PO Box 730068
 14871 Rye Road #TX-1-0029
 Fort Worth, TX 76155

FROM CONTRACTOR:
 Johnson Controls, Inc.
 5710 Warden Drive, Ste. A
 Cypress, CA 90630

Remit to: Johnson Controls, Inc.
 PO Box 730068
 Dallas, TX 75373-0068

PROJECT: Santa Ana SD - PC
 PROJECT MGR: GOODWIN, RENEE

APPLICATION NO: 15
 FEDERAL ID: 39-0380010

PERIOD TO: 31-Dec-16
 INVOICE NO: 00039748904
 INVOICE DATE: 14-Dec-16
 PROJECT NO: 5P250007
 CONTRACT DATE: 17-Sep-14

CONTRACTOR'S APPLICATION FOR PAYMENT

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

CONTRACTOR: Johnson Controls, Inc.

BY: *Kathryn Dutton* Date: 12/14/16

1. ORIGINAL CONTRACT SUM	\$	3,148,914.00
2. Net change by Change Orders	\$	(2,330.20)
3. CONTRACT SUM TO DATE (Line 1 + 2)	\$	3,146,583.80
4. TOTAL COMPLETED & STORED TO DATE (Column G on G703)	\$	3,100,766.40
5. RETAINAGE:		
a. 5% of Completed Work (Total of D & E on G703)	\$	155,038.22
b. (Column F on G703)	\$	0.00
Total Retainage (Lines 5a + 5b or Total in Column I of G703)	\$	155,038.22
6. TOTAL UNPAID LESS RETAINAGE (Line 4 less Line 5 Total)	\$	2,945,726.18
7. LESS PREVIOUS CERTIFICATES FOR PAYMENT (Line 6 from prior Certificate)	\$	2,841,828.41
8. CURRENT PAYMENT DUE	\$	103,897.87
9. BALANCE TO FINISH, INCLUDING RETAINAGE (Line 3 less Line 6)	\$	153,126.70

CHANGES ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved for previous months by Owner	\$0.00	\$0.00
Total approved this month	\$0.00	(\$5,330.20)
TOTALS	\$0.00	(\$5,330.20)

ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the data comprising the application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED \$ 103,897.87

BY: *Thommas P. ...* Date: 12-14-16

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE
 THE ARCHITECT'S CERTIFICATE FOR PAYMENT IS VALID FOR 90 DAYS FROM THE DATE OF ISSUANCE
 THIS DOCUMENT WAS PRODUCED UNDER AIA Order No. 0880254234 WHICH EXPIRES ON 11/15/2016, AND IS NOT FOR RESALE.
 PLEASE REFERENCE OUR INVOICE NUMBER AND AMOUNT WITH YOUR PAYMENT. SEND ONLY TO THE ADDRESS ON THIS INVOICE.

PO # 162389 \$ 31,747.51
 # 161154 \$ 72,150.36

CONTINUATION SHEET

AIA DOCUMENT G703

PAGE 2 OF 3 PAGES

AIA Document G702, APPLICATION AND CERTIFICATION FOR PAYMENT, containing

Contractor's signed verification is attached.

APPLICATION NO: 15
APPLICATION DATE: 12/14/2016
PERIOD TO: 12/31/16

In tabulations below, amounts are stated to the nearest dollar.

Use Column 1 on Contracts where variable retainage for line items may apply.

CEC

A ITEM NO.	B DESCRIPTION OF WORK	C SCHEDULED VALUE	D WORK COMPLETED		E THIS PERIOD TO DATE	F MATERIALS PRESENTLY STORED (NOT IN D OR E)	G TOTAL		H BALANCE TO FINISH (C-G)	I RETAINAGE (IF VARIABLE RATE)
			FROM PREVIOUS APPLICATION (D + E)	COMPLETED TO DATE			COMPLETED AND STORED TO DATE (D+E+F)	% (G+C)		
1	Initial Payment (NTP #1)	\$ 179,983.00	\$ 179,983.00	\$ -	-	\$ 0.00	\$ 179,983.00	\$ -	\$ 8,999.15	
2	Standard Lighting CEC	\$ 71,541.00	\$ 71,541.00	\$ -	-	\$ 0.00	\$ 71,541.00	\$ -	\$ 3,577.05	
3	Stocknet Lighting CEC	\$ 11,062.00	\$ 11,062.00	\$ -	-	\$ 0.00	\$ 11,062.00	\$ -	\$ 553.10	
4	Re-allocate Sytem Lighting to Prop 39	\$ 57,294.00	\$ -	\$ -	-	\$ 0.00	\$ -	\$ 57,294.00	\$ -	
5	Utahch Lighting CEC	\$ 244,424.00	\$ 227,314.32	\$ 17,109.68	-	\$ 0.00	\$ 244,424.00	\$ -	\$ 12,221.20	
6	Woodrow Lighting CEC	\$ 91,302.00	\$ 90,388.98	\$ 913.02	-	\$ 0.00	\$ 91,302.00	\$ -	\$ 4,565.10	
7	Central Kitchen Lighting CEC	\$ 22,964.00	\$ 22,964.00	\$ -	-	\$ 0.00	\$ 22,964.00	\$ -	\$ 1,148.20	
8	CF Brown Lighting CEC	\$ 19,921.00	\$ 19,921.00	\$ -	-	\$ 0.00	\$ 19,921.00	\$ -	\$ 996.05	
9	District Office Lighting CEC	\$ 31,133.00	\$ 31,133.00	\$ -	-	\$ 0.00	\$ 31,133.00	\$ -	\$ 1,556.65	
10	Re-allocate District Maint Lighting to Prop 39	\$ 22,964.00	\$ 22,274.90	\$ 689.10	-	\$ 0.00	\$ 22,274.90	\$ 689.10	\$ 1,113.75	
11	Shenwood Lighting CEC	\$ 28,734.00	\$ 28,159.16	\$ 574.84	-	\$ 0.00	\$ 28,734.00	\$ -	\$ 1,436.70	
12	Somersel Lighting CEC	\$ 157,069.00	\$ 155,498.31	\$ 1,570.69	-	\$ 0.00	\$ 157,069.00	\$ -	\$ 7,853.45	
13	Stocknet MPR HVAC CEC	\$ 72,428.00	\$ 72,428.00	\$ -	-	\$ 0.00	\$ 72,428.00	\$ -	\$ 3,621.40	
14	Standard MPR HVAC CEC	\$ 72,428.00	\$ 72,428.00	\$ -	-	\$ 0.00	\$ 72,428.00	\$ -	\$ 3,621.40	
15	Shenwood MPR HVAC CEC	\$ 72,428.00	\$ 72,428.00	\$ -	-	\$ 0.00	\$ 72,428.00	\$ -	\$ 3,621.40	
16	CF Brown MPR HVAC CEC	\$ 72,428.00	\$ 72,428.00	\$ -	-	\$ 0.00	\$ 72,428.00	\$ -	\$ 3,621.40	
17	Woodrow MPR HVAC CEC	\$ 241,428.00	\$ 205,213.80	\$ 36,214.20	-	\$ 0.00	\$ 241,428.00	\$ -	\$ 12,071.40	
18	Somersel Classrooms HVAC CEC	\$ 22,501.00	\$ 22,501.00	\$ -	-	\$ 0.00	\$ 22,501.00	\$ -	\$ -	
19	Re-allocate Energy Issue to Prop 39	\$ (57,294.00)	\$ (57,294.00)	\$ -	-	\$ 0.00	\$ -	\$ (57,294.00)	\$ -	
20	Re-allocate Sytem Lighting to Prop 39	\$ (22,501.00)	\$ (22,501.00)	\$ -	-	\$ 0.00	\$ -	\$ (22,501.00)	\$ -	
21	Re-allocate Energy Issue to Prop 39	\$ (22,964.00)	\$ (22,274.90)	\$ 689.10	-	\$ 0.00	\$ (22,274.90)	\$ 689.10	\$ (1,113.75)	
22	Re-allocate District Maint Lighting to Prop 39	\$ (22,964.00)	\$ (22,274.90)	\$ 689.10	-	\$ 0.00	\$ (22,274.90)	\$ 689.10	\$ (1,113.75)	
CEC SHEET TOTAL		\$ 1,461,701.00	\$ 1,405,318.57	\$ 56,382.43	\$ -	\$ 0.00	\$ 1,461,701.00	\$ 0.00	\$ 73,085.05	
Grand Total		\$ 3,140,583.80	\$ 2,991,398.22	\$ 109,366.18	\$ -	\$ 3,100,764.40	\$ 100.00%	\$ 39,819.40	\$ 158,038.22	

Users may obtain validation of this document by requesting of the license a completed AIA Document D401 - Certification of Document's Authenticity

CONTINUATION SHEET

AIA DOCUMENT G703

PAGE 3 OF 3 PAGES

AIA Document G703, APPLICATION AND CERTIFICATION FOR PAYMENT, containing Contractor's signed certification is attached.

APPLICATION NO: 15
APPLICATION DATE: 12/14/2016

PERIOD TO: 12/31/2016

In tabulations below, amounts are stated to the nearest dollar.
Use Column I on Contracts where variable retainerage for line items may apply.

A ITEM NO.	B DESCRIPTION OF WORK	C SCHEDULED VALUE	D WORK COMPLETED		E THIS PERIOD	F MATERIALS PRESENTLY STORED (NOT IN D OR E)	G TOTAL COMPLETED AND STORED TO DATE (D+E+F)	H BALANCE TO FINISH (C-G)	I RETAINERAGE (F VARIABLE RATE)
			FROM PREVIOUS APPLICATION (D+E)	THIS PERIOD					
20	Initial Payment Remaining (NTT#2)	\$ 134,608.00	\$ 134,608.00	\$ -	\$ -	\$ -	\$ 134,608.00	\$ -	6,730.40
21	Sanford Lighting Prop 39	\$ 158,450.00	\$ 158,450.00	\$ -	\$ -	\$ -	\$ 158,450.00	\$ -	7,922.50
22	Freedon Lighting Prop 39	\$ 132,304.00	\$ 119,073.60	\$ 13,230.40	\$ -	\$ -	\$ 132,304.00	\$ -	6,615.20
23	Crossroads Lighting Prop 39	\$ 183,416.00	\$ 181,581.84	\$ 1,834.16	\$ -	\$ -	\$ 183,416.00	\$ -	9,170.80
24	Sevage Lighting Prop 39	\$ 327,379.00	\$ 324,105.21	\$ 3,273.79	\$ -	\$ -	\$ 327,379.00	\$ -	16,368.95
25	Orchard Lighting Prop 39	\$ 206,429.00	\$ 206,429.00	\$ -	\$ -	\$ -	\$ 206,429.00	\$ -	10,321.45
26	Freedon EMS Prop 39	\$ 106,621.00	\$ 106,621.00	\$ -	\$ -	\$ -	\$ 106,621.00	\$ -	5,331.05
27	Shenwood EMS Prop 39	\$ 129,692.00	\$ 129,692.00	\$ -	\$ -	\$ -	\$ 129,692.00	\$ -	6,484.60
28	Sanneet EMS Prop 39	\$ 202,555.00	\$ 202,555.00	\$ -	\$ -	\$ -	\$ 202,555.00	\$ -	10,127.75
10	Re-allocate District Maint Lighting from CEC	\$ 22,964.00	\$ 22,964.00	\$ -	\$ -	\$ -	\$ 22,964.00	\$ -	1,148.20
11	CO#1 Re-allocate Sylvania Lighting from CEC/Add 3 MPP's	\$ 57,294.00	\$ -	\$ 17,474.60	\$ -	\$ 0.00	\$ 17,474.60	\$ 39,819.40	873.73
12	CO#1 Deduct Sylvania Elementary Lighting/Add 3 MPP's	\$ (5,330.20)	\$ -	\$ (5,330.20)	\$ -	\$ 0.00	\$ (5,330.20)	\$ -	(266.51)
17	Deduct Energy Education/Add CCC Correction Work	\$ 22,501.00	\$ -	\$ 22,501.00	\$ -	\$ 0.00	\$ 22,501.00	\$ -	1,125.05
PROP 39 Sheet Totals		\$ 1,678,892.80	\$ 1,586,079.65	\$ 52,983.75	\$ -	\$ -	\$ 1,639,063.40	\$ 39,819.40	\$ 81,953.17
Grand Total		\$ 3,140,583.80	\$ 2,991,398.22	\$ 109,366.18	\$ -	\$ -	\$ 3,100,764.40	\$ 39,819.40	\$ 155,038.22

Users may obtain validation of this document by requesting of the license a completed AIA Document D401 - Certification of Documents Authenticity



PARTIAL CONDITIONAL WAIVER

We the undersigned, Johnson Controls, Inc., have been employed by:

SYLVIAN UNION SCHOOL DISTRICT
to furnish the system controls for the property described as:

SYLVIAN UNION SCHOOL DISTRICT

Therefore, we the undersigned, upon receipt of valuable consideration in the amount of: 103,997.87, AND any previously submitted yet unpaid invoices, hereby waive any right to pursue a lien against the above-described premises for labor or materials furnished on or before: 31-Dec-16. The right to assert lien rights for labor and materials furnished after said date on said property is expressly reserved.

JCI Invoice: 00039748904
JCI Contract: 5PZ50007

Johnson Controls, Inc.

BY: _____

Marek Karandusovsky
BBC - Contract Accounting

ADDRESS: 507 E Michigan St, LD-35
Milwaukee, WI 53201-2012

Signed on: 14-Dec-2016

Ship To: 605 SYLVAN AVE
 MODESTO, CA 953501517
 USA

**ORIGINAL
 INVOICE**

Direct Inquires To: Johnson Controls, Inc.
 103 Woodmere Road, Suite 110
 0N0M Sacramento/Reno/Bakersfield/Fresnc
 Folsom, CA 95630

Federal ID#: 39-0380010

Attn: Accounts Payable
 Bill To: Sylvan Union School District
 605 Sylvan Ave
 MODESTO, CA 95350

Phone: 916-294-8800
 Fax: 916-294-8889

Mail Check To: Johnson Controls
 PO Box 730068
 Dallas, TX 75373

Project Name / Project Site / Tax Loc	Purchase Order / Date / Authorized By	JCI Project / CO	JCI Project Manager
Metasys Upgrade -Sylvan ADX Upgrade CA9535000	170933 08/30/16	MC6N0M0419 000	CORNWELL, AARON

Period Covered	Application #	Invoice Number	Invoice Date	Terms
Retention	2	CB10010382	12/21/16	NET 45

Original Contract Amount: \$30,524
 Approved Change Orders: \$0
 New Contract Amount: \$30,524

 Work Completed To Date: \$0
 Less Retention: \$0
 Total Less Retention: \$0
 Less Invoiced To Date: \$16,000

!! INVALID BILL !!
!! Error in SOV Total !!

The Project Manager named above submits this application with knowledge, information, and belief that the work covered by this application for payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous applications for payment were issued and for which payments were received from the Owner and that current payment shown herein is now due.

Net Billed This Invoice: (\$16,000)

Item	Work Description	Scheduled Value	Previous Application	Work In Place	Stored Material	Total Complete and Stored	Percent	Balance To Finish	Retention
A	B	C	D	E	F	G (D+E+F)	H (G/C)	I (C-G)	J
Totals		\$30,524	\$16,000	\$5,198	\$0	\$21,198	69%	\$9,326	\$0

*ok \$5,198
 YP*