

## Contract Summary by Project

As of 1/5/2017

January 2, 2017

Yvonne Perez  
Assistant Superintendent  
Business Services  
Sylvan Union School District  
605 Sylvan Ave.  
Modesto, CA 95350

**RE: Inspection Services for: Sylvan Elementary School Modernization - Sylvan Union School District.**

Ms. Perez;

02-114569 – Sylvan Elementary Modernization Phase 1 & 1A PD 103210 64.0 4,160  
DSA Required documents, Inspection, coordination of Lab services,  
progress meetings Review plans and Specification

02-114577 Somerset;  
02-114584 Sherwood; **FINAL BILLING**  
02-114587 Stockard Coffee; **FINAL BILLING**  
02-114585 Standiford; **FINAL BILLING**  
02-114583 CF Brown; **FINAL BILLING**  
02-114582 Woodrow; **FINAL BILLING**

DSA required documents, Inspection and verification of existing and newly installed  
equipment  
Coordination of lab services, progress meetings, Site meetings, plan, specification  
review.

PD 17110 18.0 1,170

November 29, 2016 – January 2, 2017  
82 Hours

Total Hours  
Hourly rate \$65.00

**Total Due \$ 5330.00**

Please make Payable to: Meridith Holloway  
5314 Smith Road  
Oakdale, CA 95361

Thank you for your time and consideration.

Meridith Holloway  
DSA Inspector, II  
209-988-0742  
antmerdo@aol.com

# APPLICATION AND CERTIFICATE FOR PAYMENT

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

PAGE 1 OF 3 PAGES

TO OWNER: Syman Union SD - PC  
Cooperation Yard  
2800 Coffee Road  
Modesto, CA 95355

PROJECT MGR: GOODWIN, RENEE  
Via Fed Ex Overnight To Morgan Chase Bank  
Attn: PO Box 730068  
14801 Rye Road #TX-0029  
Fort Worth, TX 76155

ATTENTION NO: 15  
FEDERAL ID: 39-0380010

FROM CONTRACTOR:  
Johnson Controls, Inc.  
5770 Wendell Drive, Ste. A  
Dyersburg, TN 37600

Revised to Johnson Controls, Inc.  
PO Box 730068  
Dallas, TX 75373-0068

PERIOD TO: 31-Dec-16  
INVOICE NO: 00039748904  
INVOICE DATE: 14-Dec-16  
PROJECT NO: 5P250007  
CONTRACT DATE: 17-Sep-14

## CONTRACTOR'S APPLICATION FOR PAYMENT

Application is made for payment, as shown below, in connection with the Contract.  
Continuation Sheet, AIA Document G702, is attached.

1. ORIGINAL CONTRACT SUM	\$	3,448,914.00
2. Net change by Change Orders	\$	(33,330.20)
3. CONTRACT SUM TO DATE (Line 1 + 2)	\$	3,415,583.80
4. TOTAL COMPLETED & STORED TO DATE (Column 3 on G703)	\$	3,100,764.40
5. RETAINAGE:		
a. % of Completed Work	\$	155,038.22
b. (Column 4 + 5 on G703)	\$	0.00
Total Retainage (Lines 5a + 5b or Total in Column 1 of G703)	\$	155,038.22

6. TOTAL BILLED LESS RETAINAGE (Line 4 less Line 5, Total)	\$	2,945,726.18
7. LESS PREVIOUS CERTIFICATES FOR PAYMENT (Line 6 from prior Certificate)	\$	2,841,828.31
8. CURRENT PAYMENT DUE	\$	103,897.87
9. BALANCE TO FINISH, INCLUDING RETAINAGE (Line 3 less Line 6)	\$	185,126.70

CHANGES ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved for previous months by Owner	\$0.00	\$0.00
Total approved this month	\$0.00	(\$3,330.20)
TOTALS	\$0.00	(\$3,330.20)

## ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the data comprising the application, the Architect certifies that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED: \$ 103,897.87

(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and entire Continuation Sheet that are changed to conform with the amount certified.)

DATE: 12-14-16  
BY: [Signature]  
This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor. Payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.

AIA DOCUMENT G702, APPLICATION AND CERTIFICATE FOR PAYMENT, CONSTRUCTION, LATEST EDITION, 1992 EDITION, AIA - © 1992  
THIS DOCUMENT WAS PRODUCED UNDER AIA Order No. 0850754234 which expires on 11/15/2018, and is not for resale.  
Please reference our invoice number and amount with your payment. Send only to the address on this invoice.

PO # 162389 \$ 31,747.51  
# 161154 \$ 72,150.36

# CONTINUATION SHEET

AIA DOCUMENT G703

PAGE 2 OF 3 PAGES

AIA Document G702, APPLICATION AND CERTIFICATION FOR PAYMENT, containing Contractor's signed certification is attached.

In tabulations below, amounts are stated to the nearest dollar.

Use Column 1 on Contracts where variable retainage for line items may apply.

CEC

APPLICATION NO: 15  
APPLICATION DATE: 12/14/2016  
PERIOD TO: 12/31/16

A ITEM NO.	B DESCRIPTION OF WORK	C SCHEDULED VALUE	D WORK COMPLETED PREVIOUS THIS PERIOD (D + E)		F MATERIALS PRESENTLY STORED (NOT IN D OR E)	G TOTAL COMPLETED AND STORED TO DATE (D+E+F)		H BALANCE TO FINISH (C - G)	I RETAINAGE (IF VARIABLE RATE)
1	Initial Payment (NTP #1)	\$ 179,983.00	\$ 179,983.00	\$ -	\$ 0.00	\$ 179,983.00	100%	\$ -	\$ 8,999.15
2	Standard Lighting CEC	\$ 71,541.00	\$ 71,541.00	\$ -	\$ 0.00	\$ 71,541.00	100%	\$ -	\$ 3,577.05
3	Stocked Lighting CEC	\$ 11,062.00	\$ 11,062.00	\$ -	\$ 0.00	\$ 11,062.00	100%	\$ -	\$ 553.10
4	Re-allocate Sytem Lighting to Prop 39	\$ 57,294.00	\$ -	\$ -	\$ 0.00	\$ -	100%	\$ 57,294.00	\$ -
5	Utahach Lighting CEC	\$ 244,424.00	\$ 227,314.32	\$ 17,109.68	\$ 0.00	\$ 244,424.00	100%	\$ -	\$ 12,221.20
6	Woodrow MPR HVAC CEC	\$ 91,302.00	\$ 90,388.98	\$ 913.02	\$ 0.00	\$ 91,302.00	100%	\$ -	\$ 4,565.10
7	Central Kitchen Lighting CEC	\$ 22,964.00	\$ 22,964.00	\$ -	\$ 0.00	\$ 22,964.00	100%	\$ -	\$ 1,148.20
8	CF Brown Lighting CEC	\$ 19,921.00	\$ 19,921.00	\$ -	\$ 0.00	\$ 19,921.00	100%	\$ -	\$ 996.05
9	District Office Lighting CEC	\$ 31,133.00	\$ 31,133.00	\$ -	\$ 0.00	\$ 31,133.00	100%	\$ -	\$ 1,556.65
10	Re-allocate District Maint Lighting to Prop 39	\$ 22,964.00	\$ 22,274.90	\$ -	\$ 0.00	\$ 22,274.90	100%	\$ 689.10	\$ 1,113.75
11	Shenwood Lighting CEC	\$ 28,734.00	\$ 28,159.16	\$ 574.84	\$ 0.00	\$ 28,734.00	100%	\$ -	\$ 1,436.70
12	Somerset Lighting CEC	\$ 157,069.00	\$ 155,498.31	\$ 1,570.69	\$ 0.00	\$ 157,069.00	100%	\$ -	\$ 7,853.45
13	Stocked MPR HVAC CEC	\$ 72,428.00	\$ 72,428.00	\$ -	\$ 0.00	\$ 72,428.00	100%	\$ -	\$ 3,621.40
14	Standard MPR HVAC CEC	\$ 72,428.00	\$ 72,428.00	\$ -	\$ 0.00	\$ 72,428.00	100%	\$ -	\$ 3,621.40
15	Shenwood MPR HVAC CEC	\$ 72,428.00	\$ 72,428.00	\$ -	\$ 0.00	\$ 72,428.00	100%	\$ -	\$ 3,621.40
16	CF Brown MPR HVAC CEC	\$ 72,428.00	\$ 72,428.00	\$ -	\$ 0.00	\$ 72,428.00	100%	\$ -	\$ 3,621.40
17	Woodrow MPR HVAC CEC	\$ 241,428.00	\$ 241,428.00	\$ -	\$ 0.00	\$ 241,428.00	100%	\$ -	\$ 12,071.40
18	Somerset Classrooms HVAC CEC	\$ 22,501.00	\$ 22,501.00	\$ -	\$ 0.00	\$ 22,501.00	100%	\$ -	\$ -
19	Re-allocate Energy Issue to Prop 39	\$ (57,294.00)	\$ -	\$ -	\$ 0.00	\$ -	100%	\$ (57,294.00)	\$ -
20	Re-allocate Sytem Lighting to Prop 39	\$ (22,501.00)	\$ -	\$ -	\$ 0.00	\$ -	100%	\$ (22,501.00)	\$ -
21	Re-allocate District Maint Lighting to Prop 39	\$ (22,964.00)	\$ (22,274.90)	\$ -	\$ 0.00	\$ (22,274.90)	100%	\$ (589.10)	\$ (1,113.75)
22	Grand Total	\$ 1,461,701.00	\$ 1,405,318.57	\$ 56,382.43	\$ -	\$ 1,461,701.00	100.00%	\$ 0.00	\$ 73,085.05
	CEC SHEET TOTAL	\$ 3,140,583.80	\$ 2,991,399.22	\$ 109,366.18	\$ 0.00	\$ 3,100,764.40	98.73%	\$ 39,819.40	\$ 158,038.22

Users may obtain validation of this document by requesting of the license a completed AIA Document D401 - Certification of Document's Authenticity

# CONTINUATION SHEET

AIA DOCUMENT G703

PAGE 3 OF 3 PAGES

AIA Document G703, APPLICATION AND CERTIFICATION FOR PAYMENT, containing Contractor's signed certification is attached.

APPLICATION NO: 15  
APPLICATION DATE: 12/14/2016  
PERIOD TO: 12/31/2016

In tabulations below, amounts are stated to the nearest dollar.

Use Column I on Contracts where variable retainage for line items may apply.

## PROP 39

ITEM NO.	DESCRIPTION OF WORK	SCHEDULED VALUE	D WORK COMPLETED		E THIS PERIOD		F MATERIALS PRESENTLY STORED (NOT IN D OR E)	G TOTAL COMPLETED AND STORED TO DATE (D+E+F)		H BALANCE TO FINISH (C - G)	I RETAINAGE (IF VARIABLE RATE)
			FROM PREVIOUS APPLICATION (D + E)								
20	Initial Payment Remaining (NTT#2)	\$ 134,608.00	\$ 134,608.00	\$ -	\$ -	\$ -	\$ 134,608.00	100%	\$ -	\$ 6,730.40	
21	Sander Lighting Prop 39	\$ 158,450.00	\$ 158,450.00	-	\$ -	\$ -	\$ 158,450.00	100%	\$ -	\$ 7,922.50	
22	Freedon Lighting Prop 39	\$ 132,304.00	\$ 119,073.60	\$ -	\$ 13,230.40	\$ -	\$ 132,304.00	100%	\$ -	\$ 6,615.20	
23	Crossroads Lighting Prop 39	\$ 183,416.00	\$ 181,581.84	\$ -	\$ 1,834.16	\$ -	\$ 183,416.00	100%	\$ -	\$ 9,170.80	
24	Savage Lighting Prop 39	\$ 327,379.00	\$ 324,105.21	\$ -	\$ 3,273.79	\$ -	\$ 327,379.00	100%	\$ -	\$ 16,368.95	
25	Orchard Lighting Prop 39	\$ 206,429.00	\$ 206,429.00	\$ -	\$ -	\$ -	\$ 206,429.00	100%	\$ -	\$ 10,321.45	
26	Freedon EMS Prop 39	\$ 106,621.00	\$ 106,621.00	\$ -	\$ -	\$ -	\$ 106,621.00	100%	\$ -	\$ 5,331.05	
27	Sherwood EMS Prop 39	\$ 129,692.00	\$ 129,692.00	\$ -	\$ -	\$ -	\$ 129,692.00	100%	\$ -	\$ 6,484.60	
28	Sonesta EMS Prop 39	\$ 202,555.00	\$ 202,555.00	\$ -	\$ -	\$ -	\$ 202,555.00	100%	\$ -	\$ 10,127.75	
10	Re-allocate District Maint Lighting from CEC	\$ 22,964.00	\$ 22,964.00	\$ -	\$ -	\$ 0.00	\$ 22,964.00	100%	\$ -	\$ 1,148.20	
11	CO#1 Re-allocate Sylvan Lighting from CEC/Add 3 MPPs	\$ 57,294.00	\$ -	\$ -	\$ 17,474.60	\$ 0.00	\$ 17,474.60	30%	\$ 39,819.40	\$ 873.73	
12	CO#1 Deduct Sylvan Elementary Lighting/Add 3 MPPs	\$ (5,330.20)	\$ -	\$ -	\$ (5,330.20)	\$ 0.00	\$ (5,330.20)	100%	\$ -	\$ (266.51)	
17	Deduct Energy Education/Add CCC Correction Work	\$ 22,501.00	\$ -	\$ -	\$ 22,501.00	\$ 0.00	\$ 22,501.00	100%	\$ -	\$ 1,125.05	
PROP 39 Sheet Totals		\$ 1,678,892.80	\$ 1,586,079.65	\$ 52,983.75	\$ -	\$ -	\$ 1,639,063.40	97.63%	\$ 39,819.40	\$ 81,953.17	
Grand Total		\$ 3,140,583.80	\$ 2,991,398.22	\$ 109,366.18	\$ -	\$ -	\$ 3,100,764.40	98.73%	\$ 39,819.40	\$ 155,038.22	

Users may obtain validation of this document by requesting of the licensee a completed AIA Document D401 - Certification of Documents Authenticity



PARTIAL CONDITIONAL WAIVER

We the undersigned, Johnson Controls, Inc., have been employed by:

SYLVIAN UNION SCHOOL DISTRICT  
to furnish the system controls for the property described as:  
SYLVIAN UNION SCHOOL DISTRICT

Therefore, we the undersigned, upon receipt of valuable consideration in the amount of: 103,997.87, AND any previously submitted yet unpaid invoices, hereby waive any right to pursue a lien against the above-described premises for labor or materials furnished on or before: 31-Dec-16. The right to assert lien rights for labor and materials furnished after said date on said property is expressly reserved.

JCI Invoice: 00039748904  
JCI Contract: 5PZ50007

Johnson Controls, Inc.

BY: MA

Marek Karandusovsky  
BBC - Contract Accounting

ADDRESS: 507 E Michigan St, LD-35  
Milwaukee, WI 53201-2012

Signed on: 14-Dec-2016

Ship To: 605 SYLVAN AVE

MODESTO, CA 953501517  
USA

## ORIGINAL INVOICE

Direct Inquires To: Johnson Controls, Inc.

103 Woodmere Road, Suite 110  
0N0M Sacramento/Reno/Bakersfield/Fresno  
Folsom, CA 95630

Federal ID#: 39-0380010

Attn: Accounts Payable

Bill To: Sylvan Union School District  
605 Sylvan Ave  
MODESTO, CA 95350

Phone: 916-294-8800

Fax: 916-294-8889

Mail Check To: Johnson Controls

PO Box 730068  
Dallas, TX 75373

Project Name / Project Site / Tax Loc	Purchase Order / Date / Authorized By	JCI Project / CO	JCI Project Manager
Metasys Upgrade -Sylvan ADX Upgrade  CA9535000	170933 08/30/16	MC6N0M0419 000	CORNWELL, AARON

Period Covered	Application #	Invoice Number	Invoice Date	Terms
Retention	2	CB10010382	12/21/16	NET 45

Original Contract Amount: \$30,524  
Approved Change Orders: \$0  
New Contract Amount: \$30,524  
  
Work Completed To Date: \$0  
Less Retention: \$0  
Total Less Retention: \$0  
Less Invoiced To Date: \$16,000

**!! INVALID BILL !!**  
**!! Error in SOV Total !!**

The Project Manager named above submits this application with knowledge, information, and belief that the work covered by this application for payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous applications for payment were issued and for which payments were received from the Owner and that current payment shown herein is now due.

Net Billed This Invoice: (\$16,000)

Item	Work Description	Scheduled Value	Previous Application	Work In Place	Stored Material	Total Complete and Stored	Percent	Balance To Finish	Retention
A	B	C	D	E	F	G (D+E+F)	H (G/C)	I (C-G)	J
Totals		\$30,524	\$16,000	\$5,198	\$0	\$21,198	69%	\$9,326	\$0

ok \$5,198  
yp